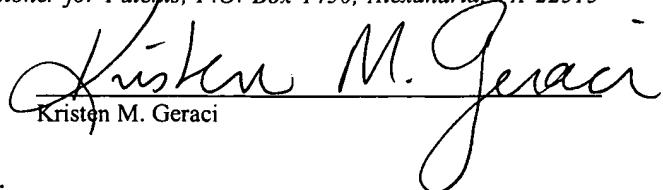




PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 12, 2004.*

  
Kristen M. Geraci

Applicant : James P. McGuire, Jr.  
Application No. : 10/625,970  
Filed : July 23, 2003  
Title : EAST-WEST SEPARABLE ROADM  
Grp./Div. : To be assigned  
Examiner : To be assigned  
Docket No. : 50518/DJS/O195

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**37 CFR § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
March 12, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Since this application was filed after June 30, 2003, copies of the U.S. references are not enclosed. However, a copy of the listed foreign reference is enclosed. Also enclosed is a copy of the International Search Report dated February 2, 2004, for International Application No. PCT/US03/23181. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By   
David J. Steele  
Reg. No. 47,317  
626/795-9900

DJS/kmg

Enclosures: PTO/SB/08A/B, w/reference  
KMG IRV1075368.1-\*03/12/04 9:33 AM

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number 50518/DJS/O195
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	Application Number 10/625,970
	Filing Date July 23, 2003
	Applicant(s) James P. McGuire, Jr.
	Group Art Unit To be assigned
	Examiner Name To be assigned

#### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,745,271	04-28-1998	Ford et al.
		5,943,454	08-24-1999	Aksyuk et al.
		US 2001/0009596 A1	07-26-2001	Solgaard et al.
		US 2001/0052980 A1	12-20-2001	Tada

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> ( <input checked="" type="checkbox"/> )
		EP 1001287 A2	5-17-2000	Boord et al.	

#### OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

KMG IRV1074916.1-\*03/12/04 9:33 AM

EXAMINER SIGNATURE		DATE CONSIDERED	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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